## Overexpression of $\beta$ III-tubulin and survivin associated with drug resistance to docetaxel-based chemotherapy in advanced gastric cancer

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## **Summary**

**Purpose:** To study the roles of  $\beta$ III-tubulin and survivin in the development of gastric cancer, and see for any relationship between the expression levels of these two genes and docetaxel chemoresistance in advanced gastric cancer.

**Methods:** Patients with advanced gastric cancer treated with docetaxel-based chemotherapy were enrolled and their tumor samples were collected retrospectively for analysis (study group). The control group consisted of patients with benign gastric mucosa lesions. Expression levels of  $\beta$ III-tubulin and survivin were evaluated with immunohistochemistry.

**Results:** The expression levels of  $\beta$ III-tubulin and survivin in the study group were significantly higher compared with those in the control group (p<0.01). Spearman's corre-

## Introduction

Gastric cancer is accompanied with high morbidity and mortality [1-3]. It often has no symptoms in the early stages, or these can be vague and non-specific. There is no single symptom that exactly pinpoints gastric cancer, therefore most patients are diagnosed in late stages. Further research is required for improved diagnosis and effective treatment [4,5]. Several trials have reported that docetaxel-based chemotherapy can improve overall survival in patients with advanced gastric cancer [6-9].

In gastric cancer genesis, not only activation of oncogenes and inactivation of tumor suppressor genes play important roles, but also imbalance of cell proliferation and apoptosis are closely associated. Genes involved in apoptosis inhibition found recently play critical roles in the formation and development of gastric cancer. Survivin, an important apoptosis inhibitor lation analysis suggested that the expression of  $\beta$ III-tubulin was also correlated with that of survivin (p<0.05), but no correlation existed between the expression levels of  $\beta$ III-tubulin and survivin with age, gender and pathological tumor type. The complete response (CR) + partial response (PR) rates were 54.10%. Patients with  $\beta$ III-tubulin and/or survivin overexpression were less responsive to docetaxel-based therapy (p<0.05) and also had shorter median time to progression and 1- and 2-year survival rates (p<0.05).

**Conclusion:** Overexpression of  $\beta$ III-tubulin and survivin in gastric cancer cells was associated with resistance to docetaxel-based chemotherapy in patients with advanced gastric cancer.

Key words: docetaxel, gastric cancer, survivin, BIII-tubulin

[10,11], inhibits apoptosis of tumor cells and promotes proliferation beyond the checkpoint.  $\beta$ III-tubulin, found in a variety of tumor tissues and directly involved in cell cycle-specific microtubule depolymerization, play a pivotal role in cell proliferation and differentiation, and therefore in tumorigenesis as well [12,13].

Docetaxel, one of the most effective anticancer drugs for gastric cancer, targets  $\beta$ -tubulin, the major protein in the mitotic spindles [14,15]. Clinicians found that prognosis could be very different for patients of same stage and same pathological type, after same docetaxel-based chemotherapy. High expression of  $\beta$ III-tubulin and survivin has been indicated to be associated with chemosensitivity to docetaxel-based treatment in other types of cancer patients [16-18]. In this study, we examined the expression of  $\beta$ III-tubulin and survivin in gastric cancer samples by using immunohistochemistry, and assessed the relationship between their

*Correspondence to:* Wei-e Zheng, MD. Tel: +860 577 65866555, Fax: +860 577 65866586, E-mail: zhengweie@sohu.com Received 20-08-2011; Accepted 26-09-2011 expression and the response to docetaxel-based chemotherapy and survival of advanced gastric cancer patients.

## Methods

#### Eligibility criteria

Seventy-four cases (53 males and 21 females) with advanced gastric cancer, diagnosed and treated in our hospital from March 2002 to June 2008, entered this study. Eligibility criteria were: (1) pathologically confirmed gastric cancer; (2) no previous administration of taxane-containing chemotherapy; (3) age between 18 and 70 years; (4) Karnofsky score  $\geq$  70; (5) expected survival > 3 months; (6) normal bone marrow, liver and kidney function, normal ECG and no contraindication to chemotherapy.

Pathological classification was made according to the International Union Against Cancer (UICC (1997), and WHO histologic classification (1998). No patient had previously received docetaxelbased chemotherapy.

Additionally, 60 cases (36 males and 24 females) with early or advanced gastric cancer diagnosed and treated from March 2006 to June 2010 were included to further study the correlations of the expression levels of  $\beta$ III-tubulin and survivin and the differentiation levels of gastric cancer.

The control group included 30 patients (18 males and 17 females, mean age  $53.7 \pm 6.8$  years) with benign gastric mucosa lesions confirmed by either gastroscopic biopsy or surgical specimens.

#### Docetaxel-based chemotherapy

Docetaxel 75 mg/m<sup>2</sup> was administered by 1 h i.v. infusion in N/S on day 1; folinic acid 100 mg/m<sup>2</sup>/day by i.v. infusion in N/S for 2 h on days 1-5; 5-FU 500 mg/m<sup>2</sup>/day by micro-pump i.v. infusion for 22 h on days 1-5; and cisplatin 25 mg/m<sup>2</sup> in N/S by i.v. infusion on days 1-3 with 1h hydration. Chemotherapy cycles were repeated every 21 days. Oral dexamethasone 16 mg/day was given for 3 days, starting the day before chemotherapy to prevent allergic reactions to docetaxel and/or fluid retention. Phenergan 25 mg and 50 mg ranitidine were administered i.m. and i.v., respectively, 30 min before docetaxel administration. All patients received at least 2 cycles of chemotherapy.

#### Immunohistochemistry

All specimens were fixed in 10% formalin, and were then embedded in paraffin for pathological examination and immunohistochemistry. Immunohistochemical detection was performed and assessed by experienced pathologists.

Immunohistochemistry was performed to determine the expression level of  $\beta$ III-tubulin and surviving proteins. In brief, biopsy specimen sections were dewaxed in xylene, graded ethanol, and processed in 3% H<sub>2</sub>O<sub>2</sub> for 15 min to block the activity of endogenous peroxidase. To fix the antigen, the slices were heated in microwave oven in 10 mmol/L citrate buffer. Goat serum was applied and incubated at room temperature for 15 min to block non-specific antibodies. Then, the excessive blocking solution was poured off and the primary antibody was added to the slices at 4° C overnight. Biotinylated goat anti-mouse IgG and horseradish peroxidase-streptavidin were added after that and incubated at room temperature for 15 min. The slices were then stained with diaminobenzidine (DAB) and

hematoxylin. For each tissue section, positive and negative control were used in parallel. For negative control, PBS was used instead of the primary antibody. Expression of  $\beta$ III-tubulin on the cell skeleton and survivin in the cytoplasm was defined as follows: a section with < 25% positive cells was determined as negative; 25-50% positive cells as weakly positive (+); 50-75% positive cells as positive (++); > 75% positive cells as strongly positive (+++).

#### Evaluation of response to chemotherapy

Evaluation of response was performed after 2 cycles of chemotherapy. According to Response Evaluation Criteria in Solid Tumors (RECIST), the clinical efficacy was divided into the following groups: CR, PR, stable disease (SD), and progressive disease (PD). CR combined with PR was used to calculate the objective response rate. Median time to progression and 1 and 2-year survival rates were registered and analyzed.

#### Toxicity evaluation

The U.S. NCI common toxicity criteria (CTC version 3) was used to assess the toxicity of chemotherapy.

#### Statistical analysis

The SPSS 13.0 statistical software was used for statistical analysis. Protein expression, chemotherapy and their relationship with clinicopathological parameters were determined by using  $x^2$  test; for  $\beta$ III-tubulin and survivin, the Spearman's correlation analysis was used. Survival analysis was done using the Kaplan-Meier method, with the log-rank test. A p<0.05 was considered statistically significant.

## Results

# Tissue expression of $\beta$ III-tubulin and survivin in 60 cases of gastric cancer and 74 cases of advanced gastric cancer

In the 60-patient group, the mean age was 56.6  $\pm$  7.3 years, including 28 (47%) cases of tubular adenocarcinoma, 18 (30%) of papillary adenocarcinoma, 9 (15%) of mucinous adenocarcinoma, and 5 (8%) of signet-ring cell carcinoma. Among them, there were 16 (27%) cases of well differentiated, 26 (43%) of moderately differentiated, and 18 (30%) of poorly differentiated gastric cancer. In order to determine the expression levels of  $\beta$ IIItubulin and survivin protein in 60 cases of gastric cancer tissue with different stages and different grades of differentiation together with the 30 cases of the control group immunohistochemical analysis was applied. As shown in Figure 1 and Table 1,  $\beta$ III-tubulin and survivin were detected in 22 (36.7%) and 35 (58.3%) cases with gastric cancer tissue, while no case and only 1(3.3%)case in 30 normal tissue samples expressed BIII-tubulin and survivin (p < 0.01). In addition, the positive rate of



Figure 1. Expression of  $\beta$ III-tubulin and survivin in normal gastric tissue (A) and gastric cancer (B). (DAB/hematoxylin ×200).

	Ν	βIII-ti	ubulin	Survivin		
Tissue		Positive N (%)	Negative N (%)	Positive N (%)	Negative N (%)	
Gastric cancer	60	22 (36.7)	38 (63.3)	35 (58.3)	25 (41.7)	
Normal gastric mucosa	30	0(0)	30 (100)	1 (3.3)	29 (96.7)	
		x <sup>2</sup> =17.62, p<0.01		x <sup>2</sup> =30.53	, p<0.01	

Table 1. Expression of BIII-tubulin and survivin in gastric cancer and normal tissues

 $\beta$ III-tubulin and survivin was 25.0% (6/24 cases) and 45.8% (11/24 cases) in highly differentiated gastric cancer tissue, respectively, and in 44.4% (16/36 cases) and 66.7% (24/36 cases) in poorly differentiated gastric cancer tissue, respectively (p<0.05). As shown in Table 2, the expression of  $\beta$ III-tubulin and survivin was not correlated with gender, age and depth of invasion of gastric cancer and lymph node metastasis (p>0.05).

Among the 74 cases of advanced gastric cancer, 31 (42%) cases had tubular adenocarcinoma, 20 (27%) papillary adenocarcinoma, 14 (19%) mucinous adenocarcinoma and 9 (12%) signet-ring cell carcinoma. Twenty cases (27%) had well differentiated, 24 (32%) moderately differentiated and 30 (41%) poorly differentiated gastric cancer. Among them, only 3 patients suffered from III/IV grade of bone marrow suppression.

The overall positive rate of BIII-tubulin was

37.8% (28/74 cases), with 37.7% in males and 38.1% in females. The positive rate of  $\beta$ III-tubulin in tubular adenocarcinomas was 35.5%, in papillary adenocarcinomas 45%, in mucinous adenocarcinomas 35.7%, and in signet-ring cell carcinomas 33.3%; The positive rate of  $\beta$ III-tubulin in patients older than 60 years was 41.7%, compared with 34.2% (p>0.05) in the cases under 60 years.

The overall positive rate of survivin was 58.1% (43/74 cases), with 55.6% in males and 65% in females. The positive rate of survivin in tubular adenocarcinomas was 63%, in papillary adenocarcinomas 60%, in mucinous adenocarcinomas 56.2%, and in signet-ring cell carcinomas 45.5%. The positive rate of survivin in patients older than 60 years was 57.1%, and 59% in cases under 60 years of age (p> 0.05) (Table 3).

In addition, the expression and correlation of βIII-

Characteristics	Survivin			βIII-tubulin		
	Ν	Positive N (%)	Negative N (%)	Positive N (%)	Negative N (%)	
Differentiation						
High	9	3 (5)	6(10)	1(1.6)	8(13.3)	
Medium	15	8(13.3)	7 (11.7)	5 (8.3)	10(16.6)	
Low	36	24 (40)	12 (20)	16 (26.7)	20 (33.3)	
TNM stage						
I+II	27	11 (18.3)	16 (26.7)	6(10)	21 (35)	
III+IV	33	24 (40)	9 (15)	16 (26.7)	17 (28.3)	
Lymph node metastasis						
Yes	38	29 (48.3)	9(15)	17 (28.3)	21 (35)	
No	22	6(10)	16 (26.7)	5 (8.3)	17 (28.3)	
Distant metastasis						
Yes	28	23 (38.3)	5 (8.3)	15 (25)	13 (21.6)	
No	32	12 (20)	20 (33.3)	7 (11.7)	25 (41.7)	

Table 2. Expressions of BIII-tubulin and survivin according to tumor characteristics

**Table 3.** Expression of  $\beta$ III-tubulin and survivin in 74 cases of gastric cancer tissues according to clinicopathological characteristics

Characteristics	βIII-ti	ubulin	Survivin		
	Positive N (%)	Negative N (%)	Positive N (%)	Negative N (%)	
Age (years)					
≥60	15 (41.67)	21 (58.33)	20 (57.14)	15 (42.86)	
<60	13 (34.21)	25 (65.79)	23 (58.97)	16 (41.03)	
Gender					
Male	20 (37.74)	33 (62.26)	30 (55.56)	24 (44.44)	
Female	8 (38.10)	13 (61.90)	13 (65.00)	7 (35.00)	
Pathological type					
Tubular	11 (35.48)	20 (64.52)	17 (62.96)	10 (37.04)	
Papillary	9 (45.00)	11 (55.00)	12 (60.00)	8 (40.00)	
Mucinous	5 (35.71)	9 (64.29)	9 (56.25)	7 (43.75)	
Signet-ring	3 (33.33)	6 (66.67)	5 (45.45)	6 (54.55)	

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$\beta$ III-tubulin	N	Survivin		
		Positive N (%)	Negative N (%)	
Positive	22	30 (50)	12 (20)	
Negative	38	5 (8)	13 (22)	

x<sup>2</sup>=13.14, p<0.01

tubulin and Survivin in gastric cancer were analyzed by Spearman rank correlation test. As shown in Table 4, there was a correlation between the expression of  $\beta$ III-tubulin and that of survivin in gastric cancer tissues (p<0.05).

#### Response to chemotherapy

The 74-patient group received multiple cycles of docetaxel-based chemotherapy (median 5, range 2-8).

There were 2 cases with CR, 38 with PR, 30 with SD, and 4 cases with PD in the group of advanced gastric cancer for a total objective response rate of 54.1% (40/74 cases). As shown in Tables 5 and 6, the response rate in  $\beta$ III-tubulin (+) patients was only 32.1% compared with 69.6% in  $\beta$ III-tubulin (–) patients (p <0.01). Also, the response rate in survivin (+) patients was only 32.6%, while that in survivin (–) patients it was 67.7% (p <0.05). In addition, the response rate in  $\beta$ III-tubulin (+) and survivin (+) combined was only 25%, while in  $\beta$ III-tubulin (–) and survivin (–) group it was 74% (p <0.01). No correlation was noticed between the response rate and gender, age or histological type of gastric cancer.

#### Survival

All patients were followed up for 2 years (until December 2010). As shown in Figure 2, the median progression-free survival and 1- and 2-year survival of the  $\beta$ III-tubulin (+) and survivin (+) groups were 4.1 months,

Expression	PD	SD	PR	CR	RR	$x^2$	p-value
βIII-tubulin							
+	4	15	9	0	32.14	9.936	0.002
_	0	14	30	2	69.57		
Survivin							
+	4	25	14	0	32.56	6.771	0.009
-	0	10	19	2	67.74		

**Table 5.** Expression of  $\beta$ III-tubulin and survivin in relation with response to chemotherapy (%)

RR: response rate. For other abbreviations see text

Table 6. Response to chemotherapy in relation to clinicopathological characteristics

Characteristics	Ν	PD	SD	PR	CR	RR
		Patients, N	Patients, N	Patients, N	Patients, N	
Protein expression						
T(+) S(+)	20	4	11	5	0	25.0*
T(+) S(-)	8	0	5	3	0	37.50
T(-) S(+)	23	0	13	10	0	43.48
T(-) S(-)	23	0	6	15	2	73.91
Age (years)						
≥60	36	2	20	13	1	38.89
<60	38	2	21	14	1	39.47
Gender						
Male	53	3	26	22	2	45.28
Female	21	1	12	8	0	38.10
Pathological type						
Tubular	31	2	16	12	1	41.94
Papillary	20	1	11	7	1	40.00
Mucinous	14	0	8	6	0	42.85
Signet-ring	9	1	4	4	0	44.44

\*p<0.05 when compared with the groups of T(–) or S(–). All other comparisons are p>0.05. T:  $\beta$ III-tubulin, S: survivin, RR: response rate. For other abbreviations see text

and 35 and 15%, respectively. In  $\beta$ III-tubulin (–) and survivin (–) groups the corresponding figures were 7.8 months, and 56.5% and 30.4%, respectively (p <0.05).



Figure 2. Survival according to BIII-tubulin and surviving expression.

## Toxicity

There were no allergic reactions and major side effects were only gastrointestinal symptoms and bone marrow suppression. Nausea and vomiting were registered in 30 (42.5%) cases, with grade 3-4 in only 2 cases. Leukopenia was detected in 32 cases (43.2%), with grade 3-4 in only 3 cases. (p>0.05). All patients tolerated treatment well (Table 7).

**Table 7.** Chemotherapy side effects in advanced gastric cancer

 according to the expression of  $\beta$ III-tubulin and survivin

Side effects	T(+) S(+)	T(+) S(-)	T(-) S(+)	T() S()
Nausea, vomitin	g			
(grade)				
I-II	7	8	6	7
III-IV	0	1	1	0
Myelosuppressio	on			
(grade)				
I-II	8	7	8	6
III-IV	1	1	1	0

All comparisons are p>0.05. T: BIII-tubulin, S: survivin

## Discussion

Survivin is a newly identified member of the family of apoptosis inhibitor proteins [19-22]. It can inhibit the activity of caspase-3 [23] and thus is a key player in cell cycle, mitosis and apoptosis. Survivin is highly expressed in tumors [24]. βIII-tubulin is one of the subtypes of  $\beta$ -tubulin which is expressed in a variety of tumor tissues. With its specific activity of depolymerization of microtubules, BIII-tubulin plays essential roles involved in cell proliferation, differentiation and tumorigenesis. BIII-tubulin was found to be associated with taxane resistance. In vitro studies also indicated that the expression of BIII-tubulin in tumor cell lines was significantly correlated with decreased sensitivity to taxane-based chemotherapy [25-27]. In this study, we found that both βIII-tubulin and survivin were highly expressed in gastric cancer tissues, and showed low expression in normal tissues, which was consistent with the findings of other investigators [27].

A number of authors reported that the prognosis of untreated patients with advanced gastric cancer was poor, with 5-year survival < 10%, and median survival of 3-4 months [28,29]. Taxane-based chemotherapy has become the main treatment option for advanced gastric cancer, due to its significant improvement of patients' survival [28-32]. However, owing to the inconsistent prognosis of patients with same stage and under the same taxane-based therapy, it is imperative to find markers which can be used to predict sensitivity to chemotherapy.

In this study, we found that the expression of  $\beta$ IIItubulin and survivin were associated with the development of gastric cancer and the sensitivity to docetaxelbased chemotherapy. Immunohistochemistry was used to evaluate the expression of βIII-tubulin and survivin genes in patients with gastric cancer. The results indicated that the positive rates of BIII-tubulin and survivin varied relatively with the grade of differentiation. In cases of advanced gastric cancer treated with docetaxel-based chemotherapy, the response rate of BIII-tubulin (+) and survivin (+) groups was much less compared with the  $\beta$ III-tubulin (–) and survivin (–) groups. This observation, along with the significantly higher expression of BIII-tubulin and survivin in more poorly differentiated gastric cancer, suggests that the activation of these two genes might be associated with tumor aggressiveness [33-35]. This finding also indicates that inhibition of the expression/activation of BIII-tubulin and/or survivin genes might improve the prognosis of patients with gastric cancer, making BIII-tubulin and survivin inhibitors promising tools for future treatments.

In summary, the expression of  $\beta$ III-tubulin and

survivin was high in gastric cancer patients resistant to docetaxel-based chemotherapy. Expression of  $\beta$ IIItubulin and survivin closely correlated with the development of gastric cancer. The combined detection of  $\beta$ III-tubulin and survivin may facilitate the determination of the aggressiveness of gastric cancer, and help provide a new tool for the prognosis of gastric cancer and the choice of proper chemotherapy.

## Acknowledgement

This work was supported by the City Technology Plan project Y20100024.

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