

## ORIGINAL ARTICLE

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# Emergency surgery for obstructing colorectal malignancy: prognostic and risk factors

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### Summary

**Purpose:** Emergency surgery for colorectal malignant obstruction is thought to correlate with poor outcome. The main aim of our study was to identify possible factors that could predict obstruction, and risk factors of poor postoperative outcome. The second aim was to determine any differences between primary anastomosis and stoma creation in the obstruction population, especially in left-sided tumors.

**Methods:** A retrospective review of 212 patients who underwent surgery for colorectal malignancy between January 2008 and January 2013 was performed. Fifty-five patients (26%) underwent emergency surgery for completely obstructing colorectal carcinoma, and 157 (74%) underwent elective surgery.

**Results:** The groups were comparable for age, gender, ASA score, tumor location, tumor stage, lymph node metastasis and mortality. Advanced tumor stage was recorded as the only prognostic factor of obstruction ( $p=0.001$ ). Postoperative mortality rate was 9.1% in the obstruction group and

6.4% in the elective group ( $p=0.498$ ). Analysis didn't reveal any risk factors for poor early outcome in the obstruction group. All patients with right-sided obstructive cancer were treated with resection and primary anastomosis, while the same procedure was performed in almost 61% of operations for left-sided tumors with no anastomotic failure.

**Conclusions:** Obstructive colorectal malignancy presents at a more advanced stage compared with non-obstructive cancer, with, interestingly, no statistically significant differences in postoperative mortality. Risk factors of poor early outcome couldn't be identified. Resection and primary restitution of continuity is the surgical approach of choice for right-sided obstructive cancers, but it can be, also, safely performed in left-sided cancers.

**Key words:** colorectal carcinoma, emergency surgery, obstructive colon cancer, prognostic factors

### Introduction

The incidence rates of colorectal carcinoma increased in recent years almost all over the world. In the United States it is the second most frequent cause of cancer death [1]. 1.23 million colorectal cancers have been diagnosed worldwide, placing colorectal cancer the third most commonly diagnosed cancer, and accounting for 8% of all cancer deaths [2]. The reported incidence of obstructive colorectal cancer varies between series, and is as high as 30% [3-5]. Emergency surgery for complicated colorectal malignancy has been associated

with high postoperative mortality rates [6,7], as well as poor 5-year survival [8,9].

Surgical management of obstructing colorectal tumors varies widely and depends on factors such as patient's hemodynamic status, American Society of Anesthesiologists (ASA) score, age, perioperative findings and tumor location. For both cancers of the right and left colon, some surgeons prefer resection and primary anastomosis (RPS), and some others resection and stoma creation (RS), with or without on-table lavage [10-12]. In re-

cent years colon stenting has gained a place in the management of obstructive tumors as a bridge to surgery: following release of the obstruction with a stent the patient is properly staged and offered multidisciplinary treatment and eventually elective surgery [13,14]. Unfortunately, no prospective randomized trials exist.

The primary aim of our retrospective study was to identify possible factors that could predict obstruction, and risk factors of poor postoperative outcome. A second aim was to review the treatment of emergency obstructive colorectal malignancy, especially the use of the Hartmann's procedure for left-sided tumors.

## Methods

### *Patients and inclusion criteria*

We retrospectively studied the data of patients who underwent emergency and elective surgery for colorectal carcinoma between January 2008 and January 2013, focusing on data concerning patients with obstructive cancer. The study has been conducted according to the principles established in Helsinki. Data were collected from the clinic and operation records. All patients were followed-up for at least 3 months.

Patients with missing data (N=3), patients operated for cancer recurrence (N=6) and those subjected to palliative stoma (N=4) were excluded from further analysis. Furthermore, cases of familial adenomatous polyposis (N=3) and benign conditions (diverticulitis, colon ischemia, volvulus; N=31) were also excluded.

### *Preoperative and postoperative procedures*

Three pathologists with at least 5-year clinical experience performed all histopathological analyses, while 4 surgeons with 10-year experience in colorectal surgery performed all operations.

Diagnosis of obstructive colorectal cancer was established postoperatively based on histopathological reports, while diagnosis for the elective operations was made preoperatively with colonoscopy and histopathological analysis of biopsy specimens.

Ileus was determined based on the clinical assessment (nausea, vomiting, tenesmus, abdominal pain, fecal content in the nasogastric tube), plain abdominal radiographs (air-fluid levels) and, in a few cases, computed tomography (CT) scan of the abdomen with oral contrast. Preoperative evaluation included, also, blood/serum tests, chest radiograph and electrocardiogram. All patients with acute obstruction of the colon and rectum were admitted to the operation room within 48 hrs of admission, after medical resuscitation with intravenous fluids, monitoring of renal function and parenteral antibiotic prophylaxis against both aerobic and anaerobic bacteria (metronidazole and second-gen-

eration cephalosporins).

### *Variables assessed*

Initial variables included age, gender, ASA score, tumor location, type of surgery, obstruction status, length of hospitalization, tumor classification, total number of excised lymph nodes and mortality. Tumors were classified according to tumor node metastasis (TNM) system of the American Joint Committee of Cancer (AJCC). All stage IV tumors had metastasized to the liver. Mortality was defined as that occurring within 90 days postoperatively, but there were no further data confirming the cause of death.

Lesions proximal to splenic flexure were recorded as right-sided cancers and those distal to splenic flexure as left-sided cancers. All tumor resections were performed either as one-stage procedure (RPA) or as two-stage procedure (RS). A standard high ligation of the vascular pedicle was performed. Neither preoperative bowel preparation nor on-table lavage was performed in patients with obstructive cancer. Right or extended right colectomy was performed for right-sided cancers, while left or extended left colectomy, anterior resection, low anterior resection (with or without loop-ileostomy) and Hartmann's procedure was performed for left-sided cancers.

### *Statistics*

Statistical comparative analysis for categorical variables was performed using Chi-square test. Normality distribution of quantitative variables was assessed with Kolmogorov-Smirnov test and with histograms. Comparative analysis of the quantitative variables was performed using Student's *t*-test or nonparametric Mann-Whitney *U* test for non-normally distributed variables. Multivariate analysis was performed using the binary logistic regression model. Survival curves were generated using Kaplan-Meier method and differences between the curves were analyzed by log-rank test. All statistical analyses were performed using the Statistical Package for Social Sciences (version 21.0; SPSS Inc., IL, Chicago, USA), and *p* value <0.05 was considered statistically significant.

## Results

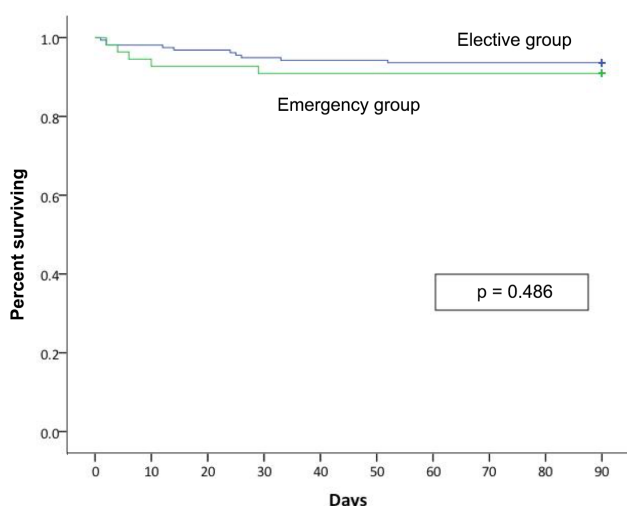
### *Patient characteristics*

During the study period 212 patients (134 males, 78 females) underwent surgery for colorectal carcinoma. Of this population, 55 patients (25.9%) presented with acute obstruction and 157 (74.1%) were admitted for elective surgery. The patient median age was 72 years (range 30-94). Of the patients 54.7% were over 70 years. Overall 90-day mortality rate was 7.1% (Table 1).

**Table 1.** Patient and disease characteristics

| Characteristics               | Results            |
|-------------------------------|--------------------|
| Total No. of patients         | 212                |
| Age (y)                       |                    |
| Mean (SD)                     | 69.25 (12.28)      |
| Median (range)                | 72 (30-94)         |
| Age >70, N (%)                | 116 (54.7)         |
| Sex                           |                    |
| Male / Female, N (%)          | 134/78 (63.2/36.8) |
| ASA score                     |                    |
| Mean (SD)                     | 2.1 (0.72)         |
| Median (range)                | 2.0 (1-4)          |
| Grade >3, N (%)               | 55 (25.9)          |
| Tumor site                    |                    |
| Right / Left, N (%)           | 69/143 (32.5/67.5) |
| Tumor location, N(%)          |                    |
| Cecum                         | 31 (14.6)          |
| Ascending                     | 28 (13.2)          |
| Transverse                    | 10 (4.7)           |
| Descending                    | 11 (5.2)           |
| Sigmoid                       | 58 (27.4)          |
| Rectum                        | 74 (34.9)          |
| Length of hospitalization (d) |                    |
| Mean (SD)                     | 13.39 (5.96)       |
| Mortality, N (%)              | 15 (7.1)           |

y: years, d: days, SD: standard deviation



**Figure 1.** Kaplan-Meier 90-day survival curves for the emergency and elective group.

### Prognostic factors of obstruction

Age, gender, ASA score, tumor location, TNM stage, number of lymph nodes resected in specimens, number of lymph node metastases, length of hospitalization and mortality of both groups of patients after radical surgery are summarized in Table 2.

Nineteen (34.5%) of the obstructive tumors and 50 (31.8%) of the non-obstructive were right-sided, while 36 (65.5%) and 107 (68.2%), respectively, were respective data for left-sided ( $p=0.713$ ). The majority of the patients in both first group ( $N=42, 76.4\%$ ) and second group ( $N=115, 73.2\%$ ) had ASA score <III ( $p=0.65$ ). Interestingly, all of the patients in the emergency group with an ASA score of III or IV ( $N=13$ ) were alive at 3-month follow-up.

Univariate analysis showed statistically significant difference between the two groups in relation to tumor stage ( $p=0.008$ ). In the first group 65.5% of the tumors were stage III and IV, in contrast with only 47.1% in the second group. Significant correlation was also found with tumor location ( $p=0.001$ ), with the majority of obstructive tumors located in the sigmoid (47.3%) and of non-obstructive tumors in the rectum (40.1%). However, after analysis with binary logistic regression model, only tumor stage remained the most important predicting factor that was related to obstruction (Table 2).

### Risk factors of poor early outcome

The postoperative 90-day mortality rate was 9.1% (5 patients) in the obstruction group and 6.4% (10 patients) in the elective group with no statistically significant difference ( $p=0.486$ ), as shown in Figure 1. Especially in the obstruction group, no risk factors of poorly early outcome were identified, as no significant correlation was found between postoperative mortality and ASA score ( $p=0.3$ ), TNM stage ( $p=0.419$ ), age ( $p=0.269$ ), gender ( $p=0.859$ ) and type of operation (RPA vs RS,  $p=0.085$ ). Even age >70 years was not statistically significant despite the fact that all of the patients who died were over 70 years.

### RPA vs RS in the obstructive cancers

Mean age of the patients was 72.23 years in the RPA group and 69.6 years in the RS group ( $p=0.468$ ). Even gender ( $p=0.360$ ), length stay ( $p=0.930$ ) and mortality rate (as mentioned above) were not significantly different. However, there was significant difference with location of the

**Table 2.** Results of univariate and multivariate analyses of all factors associated with obstructing colorectal cancer

| Factors                           | Emergency group<br>(N=55) | Elective group<br>(N=157) | Univariate<br>p value | Multivariate     |         |
|-----------------------------------|---------------------------|---------------------------|-----------------------|------------------|---------|
|                                   |                           |                           |                       | HR (95% CI)      | p value |
| Total No. of patients: 212        |                           |                           |                       |                  |         |
| Age (y), mean (range)             | 71.58 (37-94)             | 68.43 (30-89)             | 0.102 <sup>1</sup>    |                  |         |
| Age >70, N (%)                    | 36 (65.5)                 | 83 (52.9)                 | 0.192 <sup>5</sup>    |                  |         |
| Sex (male/female), N (%)          | 35 (63.6) / 20 (36.4)     | 99 (63.1) / 58 (36.9)     | 0.939 <sup>3</sup>    |                  |         |
| ASA score, N(%)                   |                           |                           |                       |                  |         |
| ASA I-II                          | 42 (76.4)                 | 115 (73.2)                | 0.65 <sup>5</sup>     |                  |         |
| ASA II-IV                         | 13 (26.6)                 | 42 (26.8)                 |                       |                  |         |
| Tumor site (right/left)           | 19 (34.5) / 36 (65.5)     | 50 (31.8) / 107 (68.2)    | 0.713 <sup>3</sup>    |                  |         |
| Tumor location, N (%)             |                           |                           |                       |                  |         |
| Cecum                             | 7 (12.7)                  | 24 (15.3)                 |                       |                  |         |
| Ascending                         | 7 (12.7)                  | 21 (13.4)                 |                       |                  |         |
| Transverse                        | 5 (9.1)                   | 5 (3.2)                   | 0.001 <sup>3</sup>    | 0.90 (0.76-1.06) | 0.219   |
| Descending                        | 5 (9.1)                   | 6 (9.1)                   |                       |                  |         |
| Sigmoid                           | 23 (41.8)                 | 35 (22.3)                 |                       |                  |         |
| Rectum                            | 8 (14.5)                  | 66 (42)                   |                       |                  |         |
| Tumor stage (TNM), N (%)          |                           |                           |                       |                  |         |
| 0                                 | 0 (0)                     | 15 (9.6)                  |                       |                  |         |
| I                                 | 3 (5.5)                   | 23 (14.6)                 | 0.008 <sup>3</sup>    | 1.87 (1.31-2.68) | 0.001   |
| II                                | 16 (29.1)                 | 45 (28.7)                 |                       |                  |         |
| III                               | 26 (47.3)                 | 63 (40.1)                 |                       |                  |         |
| IV                                | 10 (18.2)                 | 11 (7.0)                  |                       |                  |         |
| Lymph nodes resected, N (range)   | 23.13 (6-83)              | 23.26 (3-68)              | 0.594 <sup>2</sup>    |                  |         |
| Lymph-node metastasis, N (range)  | 2.49 (0-24)               | 2.08 (0-40)               | 0.149 <sup>2</sup>    |                  |         |
| RPA/RS, N (%)                     | 41 (74.5) / 14 (25.5)     | 99 (63.1) / 58 (36.9)     | 0.122 <sup>3</sup>    |                  |         |
| Mean hospital stay (d), N (range) | 12.4 (3-31)               | 13.73 (3-35)              | 0.154 <sup>2</sup>    |                  |         |
| Mortality, N (%)                  | 5 (9.1)                   | 10 (6.4)                  | 0.498 <sup>3</sup>    |                  |         |

<sup>1</sup>t-test, <sup>2</sup>Mann-Whitney U test, <sup>3</sup>Chi-square test, RPA: resection-primary anastomosis, RS: resection-stoma, HR: hazard ratio, y: years, d: days

obstruction (p=0.001), as all of the patients with right-sided obstructive cancer were treated with one-stage procedure (Table 3).

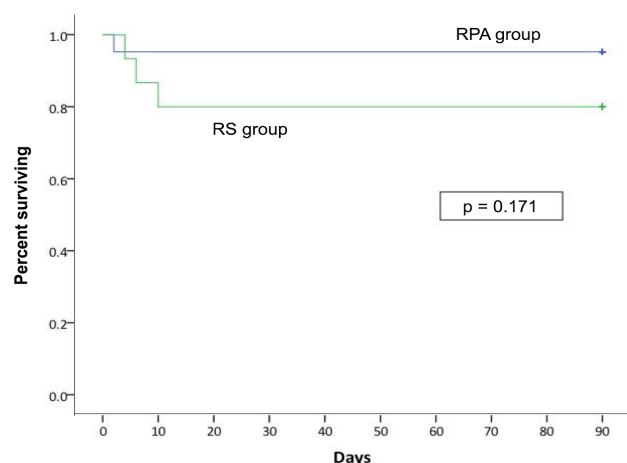
#### Left-sided malignancy

All patients treated with RS had rectosigmoid tumors. Univariate analysis of the obstructive left-sided tumors treated with stoma construction (Hartmann, N=12; loop-ileostomy, N=3) is shown in Table 4. Difference between reconstruction of the stoma and age over 70 years was statistically significant (p=0.01), as none of the patients over 70 years was operated twice. On the other hand, there were no differences as far as gender or ASA score were concerned (p=0.68 and p=1.0, respec-

tively). Interestingly, the postoperative 90-day mortality rate in the RS group was 20% (3/15 patients died) and only 4.8% in the RSA group (only 1 of the 21 patients died), with no statistically significant difference (p=0.171), as shown in Figure 2. The cause of death in the RPA patient group was low respiratory tract infection (LRTI) and not anastomotic failure.

## Discussion

In our study 26% (55/212) of all colorectal cancer patients admitted to our hospital had signs of obstruction, and were urgently operated. These data are in accordance with the incidence reported in previous series [3-5].



**Figure 2.** Kaplan-Meier 90-day survival curves of RPA vs RS surgery for left-sided obstructing cancers.

The first aim of this study was to identify possible factors that could predict obstruction, and also to identify risk factors of poor postoperative outcome. Univariate analysis of emergency and elective patient data revealed no association with age, age over 70 years, gender, ASA score, lymph node metastasis and operation type (RPA/RS), but

there was statistically significant correlation with tumor stage ( $p=0.008$ ), even after multivariate analysis ( $p=0.01$ ). These findings are quite consistent with the Biondo et al. study [15], but, conversely, there are many studies in the literature which revealed correlation even with ASA score, operation type or age [6,16,17]. There were no differences in the number of lymph nodes resected between obstructive and elective group, a fact which confirms that optimal oncologic surgery was performed even to emergency cases.

Mortality rate in our obstruction group was 9.1%, which is quite lower to this reported by other investigators [15,18-20]. In many studies, early postoperative survival has been associated with age, ASA score and tumor stage [5,18,20], but our analysis didn't identify any predictive factors of postoperative mortality. Even age over 70 years was not statistically significant in relation to mortality.

The second objective of our study was to determine any differences between RPA and RS group in the obstruction group of patients. One-stage resection and anastomosis is now a general practice for right-sided obstructive lesions, but it is still controversial for left-sided cancers

**Table 3.** Univariate analysis of variables stratified by stoma creation in the obstruction group

| Total: 55 patients                          | RPA (N=41)            | RS (N=14)            | p value            |
|---|-----------------------|----------------------|--------------------|
| Age, mean (range) (y)                       | 72.76 (37-94)         | 68.14 (48-90)        | 0.211 <sup>1</sup> |
| Age >70, N (%)                              | 28 (68.3)             | 6 (42.9)             | 0.091 <sup>2</sup> |
| Sex (male/female), N (%)                    | 24 (58.5) / 17 (41.5) | 11 (78.6) / 3 (21.4) | 0.178 <sup>2</sup> |
| Location of obstruction (right/left), N (%) | 19 (46.3) / 22 (53.7) | 0 (0) / 14 (100)     | 0.002 <sup>2</sup> |
| Length of hospitalization, days (range)     | 12.24 (3-31)          | 12.86 (4-28)         | 0.739 <sup>1</sup> |
| Mortality (%), N (%)                        | 3 (7.3)               | 2 (14.3)             | 0.434 <sup>2</sup> |

<sup>1</sup>t-test, <sup>2</sup>chi-square test, y: years. For other abbreviations see footnote of Table 2

**Table 4.** Univariate analysis of variables stratified by reconstruction of the stoma in the left-sided obstructing cancers

| Total: 16 patients   | Reconstruction of the stoma (N=4) | Permanent stoma (N=10) | p value            |
|----------------------|-----------------------------------|------------------------|--------------------|
| Age >70, N (%)       | 0 (0)                             | 6 (60)                 | 0.040 <sup>1</sup> |
| Sex (male/female), N | 4/0                               | 7/3                    | 0.217 <sup>1</sup> |
| ASA score, N (%)     |                                   |                        |                    |
| I-II                 | 3 (75)                            | 9 (90)                 | 0.469 <sup>1</sup> |
| II-IV                | 1 (25)                            | 1 (10)                 |                    |

<sup>1</sup>chi-square test



[11,12,21,22]. We treated all of our patients with right-sided obstructive cancer with RPA, while almost 39% of operations performed for left-sided tumors were RS (Hartmann's procedure 30%). Interestingly, the mortality rate in our study after RPA for right-sided obstructive cancer was lower than the mortality after RPA for left-sided obstructive cancer (5.3 vs 9.1%), in contrast to recent large studies [12,20]. Furthermore, there were no statistically significant differences in mortality between RS and RPA group as far as left-sided obstructive cancers are concerned, while no anastomotic failures were observed in the RPA group (only one patient died because of LRTI). Only 18% of the patients treated with Hartmann's procedure had ASA score >II, and only half of these patients were over 70 years. Our results even showed no statistically significant difference in surgical outcomes, such as mortality and length of hospitalization between the two groups, in accordance with the results of previous studies [23,24]. Many investigators consider colonic stenting followed by interval elective surgery as the best and safer treatment option [13,14], while subtotal colectomy is preferred only when there are synchronous neoplasms in the right colon or caecal perforation [25,26]. Only 2 of our patients were treated with subtotal colectomy and ileorectal anastomosis (5% of all left-sided obstructions), due to caecal destruction. Our institution does not favor endoscopic stenting or stage resection surgery (diverting colostomy).

In the literature, stoma reversal rate after Hartmann's procedure is only 20% in those patients with colon cancer [27]. The respective rate

in our study was 36.36%, as 4 patients were re-operated successfully within 3-8 months. Patient age over 70 years emerged as the only important factor influencing reversibility, as none of the patients over 70 was re-operated. The postoperative mortality after Hartmann's operation for left-sided obstructive cancer was 18.18%, which is similar to mortality reported by other authors [28].

The present study has some limitations, such as the relatively small patient number with obstructing cancer, and the fact that it is a retrospective study from a single institution with, unfortunately, missing data concerning the cause of postoperative deaths. Despite these significant limitations, this study managed to emphasize various factors as possible risk factors for obstructing colorectal malignancy, and analyze the surgical approaches of patients with such pathology.

## Conclusions

Analyses of the present study have documented that obstructing colorectal malignancy presents at a more advanced stage compared with non-obstructive cancer, with, interestingly, no statistically significant differences in postoperative mortality. Correlates of poor early postoperative outcome as risk factors couldn't be identified.

On the other hand, resection and primary restitution of continuity is the surgical approach of choice for right-sided obstructive cancers, but it can be, also, safely performed in left-sided cancers. Indisputable, Hartmann's procedure is still of value in elective patients and when perioperative findings cannot guarantee a safe anastomosis.

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