

## ORIGINAL ARTICLE

# Neoadjuvant chemotherapy brings more survival benefits than postoperative chemotherapy for resectable gastric cancer: a Meta-analysis of randomized controlled trials

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## Summary

**Purpose:** To find out which treatment, neoadjuvant chemotherapy (NAC) or postoperative chemotherapy (PAC), can bring greater survival benefits to gastric cancer patients.

**Methods:** Pubmed, Embase and Cochrane Library databases were searched for randomized controlled trials (RCTs) about multidisciplinary treatment of resectable gastric cancer (NAC vs PAC, NAC + surgery vs surgery alone, and surgery alone vs surgery + PAC). Quality was assessed by collaboration recommendation in Cochrane. All outcomes were evaluated by odds ratio (OR) and 95% confidence interval (CI). Pairwise comparisons were conducted by R3.12 software. Aggregate Data Drug Information System (ADDIS software 1.16.5) was used to perform network meta-analysis.

**Results:** Simple meta-analysis showed NAC could bring more survival benefits than PAC for resectable gastric cancer. NAC was significantly better than PAC in 1-year

( $I^2=0$ ,  $p=0.4085$ , fixed effects model, OR=2.28, 95%CI: 1.27-4.04), 3-year ( $I^2=0$ ,  $p=0.6979$ , fixed effects model, OR=2.10, 95%CI: 1.09-4.03), and 5-year survival ( $I^2=37.8\%$ ,  $p=0.2048$ , fixed effects model, OR=2.04, 95%CI: 1.03-4.06). Network meta-analysis showed NAC + surgery was better compared with surgery + PAC and surgery alone. NAC + surgery were significantly better than surgery + PAC and surgery alone in 1-year or 3-year survival. For 5-year survival, NAC + surgery were significantly better than surgery alone, but no significant difference was observed when compared with surgery + PAC. NAC + surgery ranked first in 1-year, 3-year and 5-year probability sequence diagram.

**Conclusion:** NAC brings greater survival benefits than PAC for patients with resectable gastric cancer.

**Key words:** adjuvant chemotherapy, gastric cancer, neoadjuvant chemotherapy, network meta-analysis, survival

## Introduction

According to the International Agency for Research on Cancer, around 951,000 individuals suffered from gastric cancer and 723,000 of them died in 2012, accounting for the 5<sup>th</sup> of morbidity and the 3<sup>rd</sup> mortality among malignant tumors [1]. Surgery is the major treatment of resectable gastric cancer, but local relapse and metastasis rate after surgery is high. Thus the prognosis of gastric cancer is still poor. Over the last 30 years, many

multidisciplinary treatment strategies including neoadjuvant chemotherapy (NAC), intraoperative local chemotherapy, postoperative chemotherapy (PAC), intra-abdominal infusion chemotherapy, and hyperthermic chemotherapy were applied in gastric cancer. NAC and PAC are two important accepted multidisciplinary treatments which could prolong survival and improve the cure rate of resectable gastric cancer [2-4].

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In order to elucidate which treatment (NAC or PAC) could provide better survival benefit for resectable gastric cancer, we searched important databases about multidisciplinary treatment for gastric cancer and made the present meta-analysis.

## Methods

### Literature search

We searched Pubmed, Embase and Cochrane Library Databases updated to September 2017 for all the potentially relevant publications. The key search terms were “gastric carcinoma” or “gastric cancer” or “stomach neoplasm” or “cancer of the stomach” and “preoperative chemotherapy” or “neoadjuvant chemotherapy” or “Perioperative chemotherapy” and “post-operation chemotherapy” or “adjuvant chemotherapy” and “surgery” or “Gastrectomy”. No language or time restrictions were applied.

### Inclusion and exclusion criteria

The inclusion criteria for the present meta-analysis were: (1) the studies were RCTs comparing NAC+ surgery vs surgery, surgery+ PAC vs surgery, or NAC vs PAC directly; (2) the studies reported at least one of the following outcomes: 1-year, 3-year and 5-year survival data. In addition, RCTs about potentially resectable gastric cancer were included, some of which included

potentially resectable stage IV gastric cancer with no distant metastases (staging standard when the articles published). Adenocarcinoma of esophageal–gastric junction was also included.

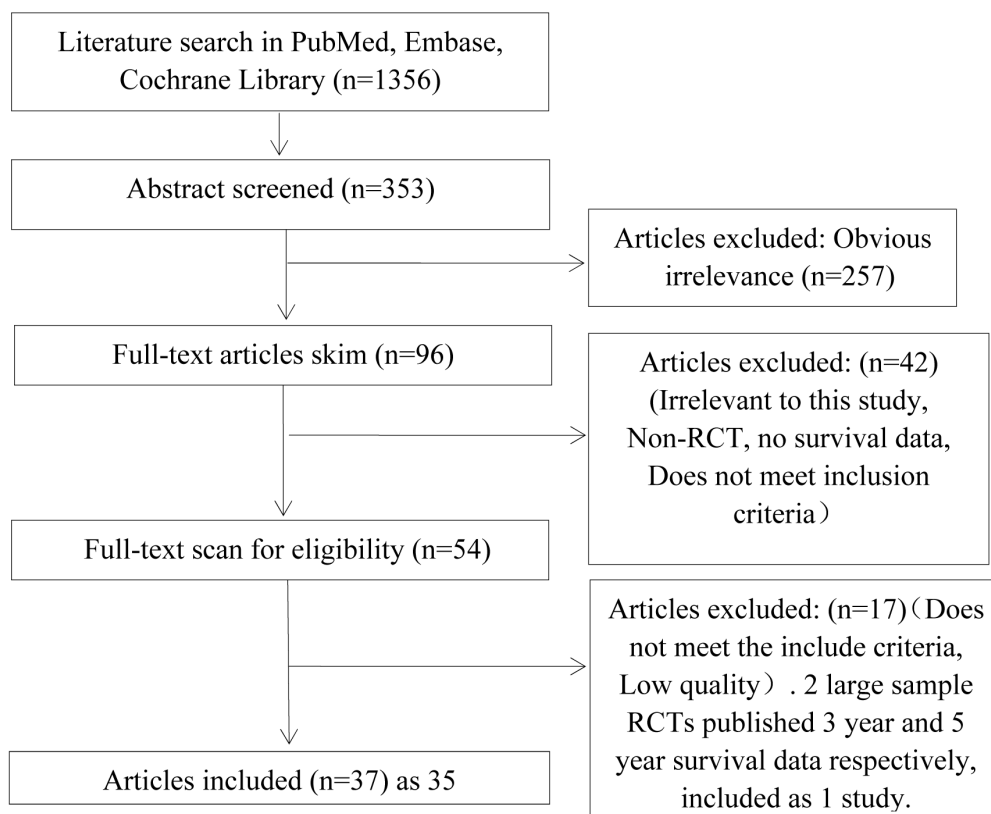
Non-RCTs, RCTs without followed-up survival, and trials including postoperative recurrence or unresectable gastric cancer were excluded.

### Data extraction and quality assessment

Two independent investigators extracted the information from each eligible study, including first author, publication year, study location, therapeutic modality, number of cases in each group, gender, AJCC/UICC stage, follow-up period and 1-year, 3-year and 5-year survival data. Disagreements were resolved by discussion and reexamination. Cochrane collaboration recommendation was applied for RCTs quality evaluation [5]. Egger’s test was used to analyze publication bias.

### Statistics

Pairwise comparisons were performed by R 3.12 software (R Foundation for Statistical Computing, Beijing, China). OR with their 95% CI were used as a measure of effect size to combine the results. Heterogeneity was determined by Q statistic and  $I^2$  test. If significant heterogeneity was detected ( $p < 0.05$  or  $I^2 > 50\%$ ), the random-effects model was used. Otherwise, the fixed-effects model was used [6].



**Figure 1.** Flow chart of studies. Our systematic literature search identified 1356 citations. We reviewed 96 full texts of 353 abstracts that met the inclusion criteria. Based on the full text reviews, we excluded another 59 studies. Finally, 35 studies were included in our final sample.

**Table 1.** Characteristics of included studies

| Author      | Public. year | Study location            | Study year      | Item | N   | Age, yr   | M/F     | AJCC/UICC              | Tumour stage (T1/T2/T3/T4) | Nodal stage (N0/N1/N2/N3) | 1 OS | 3 OS | 5 OS |
|-------------|--------------|---------------------------|-----------------|------|-----|-----------|---------|------------------------|----------------------------|---------------------------|------|------|------|
| Schuhmacher | 2010         | France, Germany           | 1999.07-2004.02 | S    | 72  | 58(26-69) | 50/22   | III, IV                | NA/NA/62/8                 | 4/48/6/1                  | 58   | 34   | 11   |
| Shchepotin  | 1995         | NA                        | 1988.02-1991.04 | NAC  | 72  | 56(38-70) | 50/22   |                        | NA/NA/64/7                 | 6/44/5/1                  | 61   | 41   | 15   |
|             |              |                           |                 | S    | 50  | NA        | NA      | NA                     | 0/3/33/14                  | 17/33(N1+N2)              | 18   | 15   | NA   |
| Wang        | 1999         | China                     | 1987-1988       | NAC  | 47  | NA        | NA      |                        | 0/0/35/14                  | 15/32(N1+N2)              | 42   | 37   | NA   |
|             |              |                           |                 | S    | 30  | 55(33-67) | 27/3    | I, II, IIIB            | NA                         | NA                        | NA   | NA   | 7    |
| Hartgrink   | 2004         | Netherlands               | 1993.09-1996.01 | NAC  | 30  | 54(37-65) | 23/7    |                        | NA                         | NA                        | NA   | NA   | 12   |
|             |              |                           |                 | S    | 29  | NA        | NA      | I, II, III             | NA                         | NA                        | 18   | 14   | 10   |
| Nio         | 2004         | Japan                     | 1991-1999       | NAC  | 27  | NA        | NA      |                        | NA                         | NA                        | 17   | 9    | 6    |
|             |              |                           |                 | S    | 193 | 65.3±11.5 | 141/52  | I, II, III, IV         | NA                         | NA                        | 175  | 143  | 137  |
| Imano       | 2010         | Japan                     | 1992-2002       | NAC  | 102 | 63.5±11.9 | 70/32   |                        | NA                         | NA                        | 96   | 78   | 73   |
|             |              |                           |                 | S    | 16  | 59.5±7.7  | 9/7     | NA                     | NA/11/5/NA                 | NA/14/2/0                 | 13   | 8    | 6    |
| Ychou       | 2011         | France                    | 1995.11-2003.12 | NAC  | 47  | 59.3±11.0 | 32/15   |                        | NA/22/25/NA                | NA/40/6/1                 | 40   | 26   | 20   |
|             |              |                           |                 | S    | 113 | 63(36-75) | 96/17   | I, II, III             | 0/38/57(T3+T4)             | 32/66(N1+N2+N3)           | 93   | 53   | 27   |
| Cunningham  | 2006         | United Kingdom            | 1994.7-2002.4   | NAC  | 111 | 63(38-75) | 91/10   |                        | 0/27/58(T3+T4)             | 17/68(N1+N2+N3)           | 79   | 38   | 16   |
|             |              |                           |                 | S    | 250 | NA        | 205/45  | II, III                | 0/16/106/16                | 42/72/19/2                | 168  | 79   | 38   |
| Bang        | 2012         | South Korea, China, et al | 2006.06-2009.06 | S    | 253 | NA        | 191/62  |                        | 1/128/225/14               | 42/68/34/12               | 155  | 50   | 18   |
|             |              |                           |                 | S    | 515 | 55.8±11.6 | 358/157 | IB, II, IIIA, IIIB, IV | 3/282/229/1                | 56/308/151/0              | 443  | 344  | 137  |
| Miyagaki    | 2011         | Japan                     | 1993.01-1998.03 | PAC  | 520 | 56.1±11.1 | 373/147 |                        | 8/282/227/3                | 47/313/160/0              | 452  | 376  | 169  |
|             |              |                           |                 | S    | 133 | 57(23-73) | 88/45   | NA                     | 2/39/88/4                  | 32/51/38/5                | 121  | 88   | 81   |
| Kulig       | 2009         | Poland                    | 1995.01-1999.02 | PAC  | 135 | 59(33-75) | 94/41   |                        | 3/48/77/7                  | 41/49/35/4                | 124  | 100  | 84   |
|             |              |                           |                 | S    | 154 | 64(61-66) | 111/43  | IB, II, III            | 3/40/69/42                 | 51/43/46/14               | 128  | 75   | 62   |
| Bajetta     | 2002         | Italy                     | 1992.12-1997.12 | PAC  | 141 | 61(58-67) | 100/41  |                        | 3/27/71/40                 | 40/37/39/25               | 113  | 73   | 63   |
|             |              |                           |                 | S    | 135 | 57(23-70) | 81/54   | NA                     | 65(T1+T2)/70(T3+T4)        | 15/120(N1+N2+N3)          | 124  | 50   | 27   |
| Bonfanti    | 1988         | Italy                     | 1977.3-1981.6   | PAC  | 136 | 57(31-70) | 93/43   |                        | 63(T1+T2)/73(T3+T4)        | 12/124(N1+N2+N3)          | 122  | 62   | 29   |
|             |              |                           |                 | S    | 69  | 58(26-69) | 49/20   | NA                     | 12/21/36(T3+T4)            | 26/43(N1+N2+N3)           | 60   | 40   | 29   |
| Bouche      | 2005         | France                    | 1989.4-1997.12  | PAC  | 69  | 56(38-70) | 44/25   |                        | 17/23/29(T3+T4)            | 33/36(N1+N2+N3)           | 59   | 35   | 30   |
|             |              |                           |                 | S    | 127 | 60(32-82) | 93/34   | II, III, IV            | 28(T1+T2)/94/5             | 20/69/18/15               | 114  | 73   | 59   |
| Chipponi    | 2004         | France                    | 1989.10-1997.9  | PAC  | 133 | 62(31-83) | 93/40   |                        | 31(T1+T2)/97/5             | 23/69/30/6                | 111  | 73   | 56   |
|             |              |                           |                 | S    | 93  | Mean:59   | 58/35   | II, III, IV            | NA                         | 19/74(N1+N2+N3)           | 81   | 45   | 34   |
|             |              |                           |                 | S    | 103 | Mean:63   | 71/32   |                        | NA                         | 14/89(N1+N2+N3)           | 82   | 50   | 38   |

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| Author      | Public. year | Study location | Study year      | Item | N   | Age, yr     | M/F     | AJCC/UICC               | Tumour stage (T1/T2/T3/T4) | Nodal stage (N0/N1/N2/N3) | 1 OS | 3 OS | 5 OS |
|-------------|--------------|----------------|-----------------|------|-----|-------------|---------|-------------------------|----------------------------|---------------------------|------|------|------|
| Chou        | 1994         | Taiwan         | 1986.1-1992.12  | PAC  | 44  | 60.4±11.8   | 21/23   | II, III                 | NA                         | NA                        | 36   | 21   | 16   |
|             |              |                |                 | S    | 37  | 60±12.7     | 25/12   |                         | NA                         | NA                        | 28   | 8    | 4    |
| De Vita     | 2007         | Italy          | 1996.6-2001.6   | PAC  | 112 | 63(39-70)   | 66/46   | IB, II, III             | 3/19/69/21                 | 32/38/42/NA               | 102  | 77   | 52   |
|             |              |                |                 | S    | 113 | 62(41-70)   | 65/48   |                         | 5/18/73/17                 | 30/39/44/NA               | 100  | 78   | 49   |
| Di Costanzo | 2008         | Italy          | 1995.1-2000.9   | PAC  | 130 | NA          | 79/51   | IB, II, IIIA            | NA/NA/64/6                 | 20/104/(N1+N2+N3)         | 114  | 75   | 43   |
|             |              |                |                 | S    | 128 | NA          | 78/50   |                         | NA/NA/60/8                 | 22/109/(N1+N2+N3)         | 109  | 72   | 49   |
| Hallisey    | 1994         | UK             | 1981.6-1986.7   | PAC  | 138 | 63(58-68)   | 98/40   | II, III                 | NA                         | NA                        | 88   | 35   | 26   |
|             |              |                |                 | S    | 145 | 63(57-69)   | 106/39  |                         | NA                         | NA                        | 81   | 40   | 29   |
| Krook       | 1991         | USA            | NA              | PAC  | 61  | 63(33-77)   | 47/14   | I, II, III              | NA                         | 12/49/(N1+N2+N3)          | 45   | 20   | 20   |
|             |              |                |                 | S    | 64  | 62(38-78)   | 51/13   |                         | NA                         | 18/46/(N1+N2+N3)          | 51   | 22   | 21   |
| Macdonald   | 1995         | USA            | 1978.3-1991.8   | PAC  | 93  | 59(27-75)   | 59/34   | IB, IC, II, III         | NA                         | NA                        | 76   | 45   | 34   |
|             |              |                |                 | S    | 100 | 60(18-75)   | 64/36   |                         | NA                         | NA                        | 82   | 42   | 31   |
| Nakajima    | 1999         | Japan          | 1988-1992       | PAC  | 288 | NA          | 174/114 | I, II, III              | 91/167/30/0                | 107/157/21/3              | 282  | 266  | 204  |
|             |              |                |                 | S    | 285 | NA          | 189/96  |                         | 97/156/32/0                | 130/129/25/1              | 279  | 252  | 158  |
| Nakajima    | 2007         | Japan          | 1997.6-2001.3   | PAC  | 93  | Mean:63     | 70/23   | II, III                 | 0/93/0/0                   | 0/69/24/0                 | 91   | 84   | 71   |
|             |              |                |                 | S    | 95  | Mean:64     | 73/22   |                         | 0/95/0/0                   | 0/72/23/0                 | 93   | 72   | 65   |
| Nashimoto   | 2003         | Japan          | 1993.1-1994.12  | PAC  | 127 | 58.4(33-75) | 93/34   | I, II, III              | 53/67/7/0                  | 75/38/14/(N2+N3)          | 124  | 119  | 116  |
|             |              |                |                 | S    | 123 | 57.5(25-75) | 76/47   |                         | 53/61/9/0                  | 64/42/9/(N2+N3)           | 120  | 110  | 106  |
| Nitri       | 2006         | NA             | 1990.7-1998.3   | PAC  | 194 | 55(20-70)   | 123/71  | Ib, II, III II IIIB, IV | 9/65/108/12                | 36/79/77/0                | 166  | 103  | 65   |
|             |              |                |                 | S    | 203 | 57(27-71)   | 129/74  |                         | 14/64/113/12               | 37/84/82/0                | 162  | 111  | 65   |
| Ochiai      | 1983         | Japan          | 1976.1-1978.12  | PAC  | 40  | NA          | 28/21   | I, II, III, IV          | NA                         | NA                        | 21   | 18   | 13   |
|             |              |                |                 | S    | 49  | NA          | 29/11   |                         | NA                         | NA                        | 22   | 17   | 15   |
| Engstrom    | 1985         | USA            | 1975.9-1980.6   | PAC  | 91  | NA          | 57/34   | NA                      | NA                         | NA                        | 66   | 33   | 9    |
|             |              |                |                 | S    | 89  | NA          | 63/26   |                         | NA                         | NA                        | 57   | 32   | 8    |
| Popiela     | 2004         | Poland         | NA              | PAC  | 53  | 59.6±10.6   | 31/21   | III, IV                 | 38(T2+T3)/15(T4)           | 0/27/26/0                 | 49   | 39   | 36   |
|             |              |                |                 | S    | 52  | 58.4±11.6   | 43/10   |                         | 42(T2+T3)/10(T4)           | 0/35/17/0                 | 40   | 22   | 14   |
| Sakuramoto  | 2007         | Japan          | 2001.10-2004.12 | PAC  | 529 | 63(27-80)   | 367/162 | II, IIIA IIIB, IV       | 1/289/235/14               | 51/296/182/0              | 515  | 416  | 316  |
|             |              |                |                 | S    | 530 | 63(33-80)   | 369/161 |                         | 0/286/232/12               | 64/281/185/0              | 504  | 365  | 268  |

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| Author   | Public. year | Study location | Study year      | Item | N  | Age, yr     | M/F   | AJCC/UICC  | Tumour stage (T1/T2/T3/T4) | Nodal stage (N0/N1/N2/N3) | 1 OS | 3 OS | 5 OS |
|----------|--------------|----------------|-----------------|------|----|-------------|-------|------------|----------------------------|---------------------------|------|------|------|
| Stablein | 1982         | USA            | 1975.1-1980.9   | PAC  | 71 | NA          | 50/21 | NA         | NA                         | 22/38/11(N2+N3)           | 64   | 43   | 32   |
| Yonemura | 1993         | Japan          | 1988.05-1991.12 | S    | 71 | NA          | 50/21 |            | NA                         | 23/36/12(N2+N3)           | 61   | 32   | 23   |
| Sun      | 2011         | China          | 2008.07-2010.07 | NAC  | 29 | 64.1±8.34   | 21/8  | IV         | NA                         | NA                        | 16   | 6    | NA   |
|          |              |                |                 | PAC  | 26 | 56.4±9.6    | 20/6  |            | NA                         | NA                        | 8    | 2    | NA   |
|          |              |                |                 | NAC  | 29 | 52.6(33-72) | 37/18 | IV         | NA                         | NA                        | 16   | NA   | NA   |
|          |              |                |                 | PAC  | 26 |             |       |            | NA                         | NA                        | 12   | NA   | NA   |
| Fazio    | 2016         | Italy          | 1999-2005       | NAC  | 34 | 57(25-75)   | 47    | II, IIB    | NA                         | NA                        | 30   | 22   | 16   |
|          |              |                |                 | PAC  | 35 | 59(39-76)   | 22    |            |                            |                           | 28   | 19   | 14   |
| Li       | 2012         | china          | 2001-2005       | NAC  | 33 | 65(41-75)   | 53    | I, II, III | 6/4/20/3                   | 12/11/9/1                 | 31   | 26   | 25   |
|          |              |                |                 | PAC  | 37 | 61(27-78)   | 17    |            | 0/3/34/0/                  | 4/17/16/0                 | 35   | 22   | 18   |
| Qu       | 2010         | china          | 2005-2008       | NAC  | 39 | NA          | 48    | II, IIIB   | NA                         | NA                        | 38   | NA   | NA   |
|          |              |                |                 | PAC  | 39 | NA          | 30    |            | NA                         | NA                        | 30   | NA   | NA   |

S: only surgery, NAC: neoadjuvant chemotherapy, PAC: postoperative adjuvant chemotherapy, OS: overall survival, AJCC/UICC stage: American Joint Cancer Committee/Union Internationale Contre le Cancer, SD: standard deviation, age: years; M: male, F: female, Age: Mean ±standard deviation or median (range), NA: Not available

**Table 2.** Meta analysis on Egger's test of literature publication bias

| Variable | Group      | Sample size |         | Test of association |                         |        | Model  | Test of heterogeneity <sup>a, b</sup> |        |        | Egger's test <sup>c</sup> |        |
|----------|------------|-------------|---------|---------------------|-------------------------|--------|--------|---------------------------------------|--------|--------|---------------------------|--------|
|          |            | K           | Group 1 | Group 2             | OR (95%CI)              | Z      | P      | Q                                     | P      | I2 (%) | t                         | P      |
| 1 OS     | NAC vs PAC | 5           | 164     | 163                 | 2.2783 [1.2726; 4.0787] | 2.7714 | 0.0056 | Fixed                                 | 0.4085 | 0      | 0.3224                    | 0.7683 |
|          | NAC vs S   | 7           | 658     | 724                 | 1.8818 [1.0921; 3.2426] | 2.2771 | 0.0228 | Random                                | 0.005  | 67.6   | 0.5692                    | 0.5938 |
|          | PAC vs S   | 22          | 3284    | 3327                | 1.2779 [1.0980; 1.4873] | 3.1681 | 0.0015 | Fixed                                 | 0.9322 | 0      | 0.4983                    | 0.6237 |
| 3 OS     | NAC vs PAC | 3           | 96      | 98                  | 2.0961 [1.0902; 4.0303] | 2.2188 | 0.0265 | Fixed                                 | 0.6979 | 0      | 0.8936                    | 0.5357 |
|          | NAC vs S   | 7           | 658     | 724                 | 1.6577 [1.0496; 2.6181] | 2.1675 | 0.0302 | Random                                | 0.043  | 68.3   | 0.2711                    | 0.7972 |
|          | PAC vs S   | 22          | 3284    | 3327                | 1.2771 [1.1014; 1.4809] | 3.2392 | 0.0012 | Random                                | 0.0321 | 39.1   | 0.765                     | 0.4532 |
| 5 OS     | NAC vs PAC | 2           | 67      | 72                  | 2.0414 [1.0256; 4.0634] | 2.0320 | 0.0422 | Fixed                                 | 0.2048 | 37.8   | .*                        | .*     |
|          | NAC vs S   | 7           | 641     | 704                 | 1.4702 [1.0442; 2.0701] | 2.2079 | 0.0273 | Random                                | 0.2486 | 23.7   | 0.5634                    | 0.5975 |
|          | PAC vs S   | 22          | 3284    | 3327                | 1.2843 [1.1099; 1.4859] | 3.3616 | 0.0008 | Random                                | 0.0339 | 38.7   | 0.1531                    | 0.8798 |

<sup>a</sup> Random-effects model was used when the P for heterogeneity test was <0.05, otherwise the fixed-effect model was used. <sup>b</sup> P <0.05 is considered statistically significant for Q statistics. <sup>c</sup> Egger's test to evaluate publication bias, P <0.05 is considered statistically significant; CI: confidence interval.



ADDIS is a decision support system developed to store data from clinical trials in a structured way and create meta-analyses (as well as benefit-risk assessments), based on Bayesian framework and Markov Chain Monte Carlo (MCMC) theory [7, 8]. ADDIS software (1.16.5) was applied in this network meta-analysis. The parameters were set as: Number of chains: 4, Tuning iterations: 20000, Simulation iterations: 50000, Thinning interval: 10, Inference samples: 10000, Variance scaling factor: 2.5. All outcomes were evaluated by OR and 95% CI under a random-effects model. Consistency was evaluated by Inconsistency Factors. If 95% CI of log (OR) included 0, consistency was proposed and the consistency model was applied; otherwise, inconsistency model was used. Convergence of the model was assessed by the Brooks-Gelman-Rubin method, and expressed by Potential Scale Reduction Factor (PSRF). The closer to 1 of the PSRF value, the better convergence of the model was. PSRF less than 1.20 was acceptable [9].

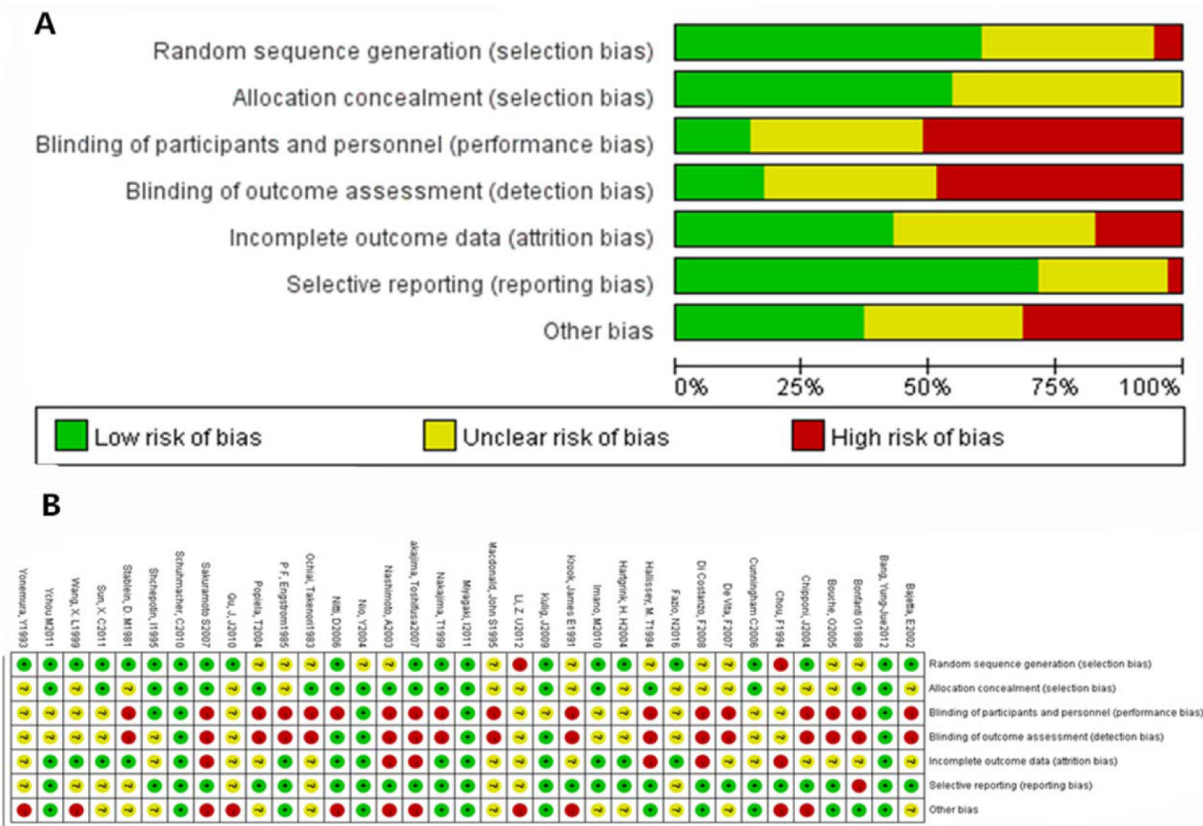
Results

Eligible studies and their characteristics

The flow chart of literature search and study selection is shown in Figure 1. A total of 1356 articles were obtained in Cochrane Database, Pubmed, and Embase. Among them, 1003 articles which did

not meet the criteria were excluded by title skim. Then, 257 were excluded from the 353 studied after abstract skimmed. Full-text skim for eligibility of the 96 articles excluded 42 studies which did not meet inclusion criteria (researches irrelevant to this study, Non-RCT, without survival data, or the survival data does not meet inclusion criteria). Full-text scan of 54 articles excluded 17 articles which did not meet the inclusion criteria or with low quality. Finally, 37 RCT articles [3, 10-45] with eligible survival data were included. ACTS-GC and CLASIC research published 3-year and 5-year survival data separately, so they were each included as one article. Finally, 35 RCTs were included in our study (NAC plus surgery vs surgery alone: 8 RCTs, surgery plus PAC vs surgery alone: 22 RCTs, NAC vs PAC: 5 RCTs). All the articles were RCTs published between 1982 to September 2017. The cases were mainly distributed in European countries, Japan, China, Korea, and USA. There was no difference among each treatment groups in terms of demographic characteristics such as age and gender (Table 1).

RCTs quality evaluation of the included studies showed high risk in both performance bias and detection bias (Figure 2). No significant publication bias was detected (Table 2).



**Figure 2.** Quality assessments of the included studies. (A) Performance bias of the studies included. RCTs quality evaluation showed high risk in performance bias. (B) Detection bias of the studies included. RCTs quality evaluation showed high risk in detection bias.

## Results of simple meta-analysis

Heterogeneity test was performed to calculate the combined effect value based on the p value of Q test and the  $I^2$  statistic value, using appropriate effect model. From the result of direct compared meta-analysis, we found NAC was better than PAC in 1-year survival rate ( $I^2=0$ ,  $p=0.4085$ , fixed effect model, OR=2.28, 95% CI:1.27-4.04). Both NAC+surgery and surgery+PAC were better than surgery alone in 1-year survival rate (NAC+sur-

gery vs surgery:  $I^2=67.6\%$ ,  $p=0.005$ , random effect model, OR=1.88, 95% CI: 1.09-3.24; surgery+PAC vs surgery:  $I^2=0$ ,  $p=0.9322$ , fixed effect model, OR=1.28, 95% CI: 1.10-1.49). NAC was better than PAC in 3-year survival rate ( $I^2=0$ ,  $p=0.6979$ , fixed effect model, OR=2.10, 95% CI: 1.09-4.03). Both NAC+surgery and surgery+PAC were better than surgery alone in 3-year survival rate (NAC+surgery vs surgery:  $I^2=68.3\%$ ,  $p=0.0043$ , random effect model, OR=1.66, 95% CI: 1.05-2.62; surgery+PAC vs

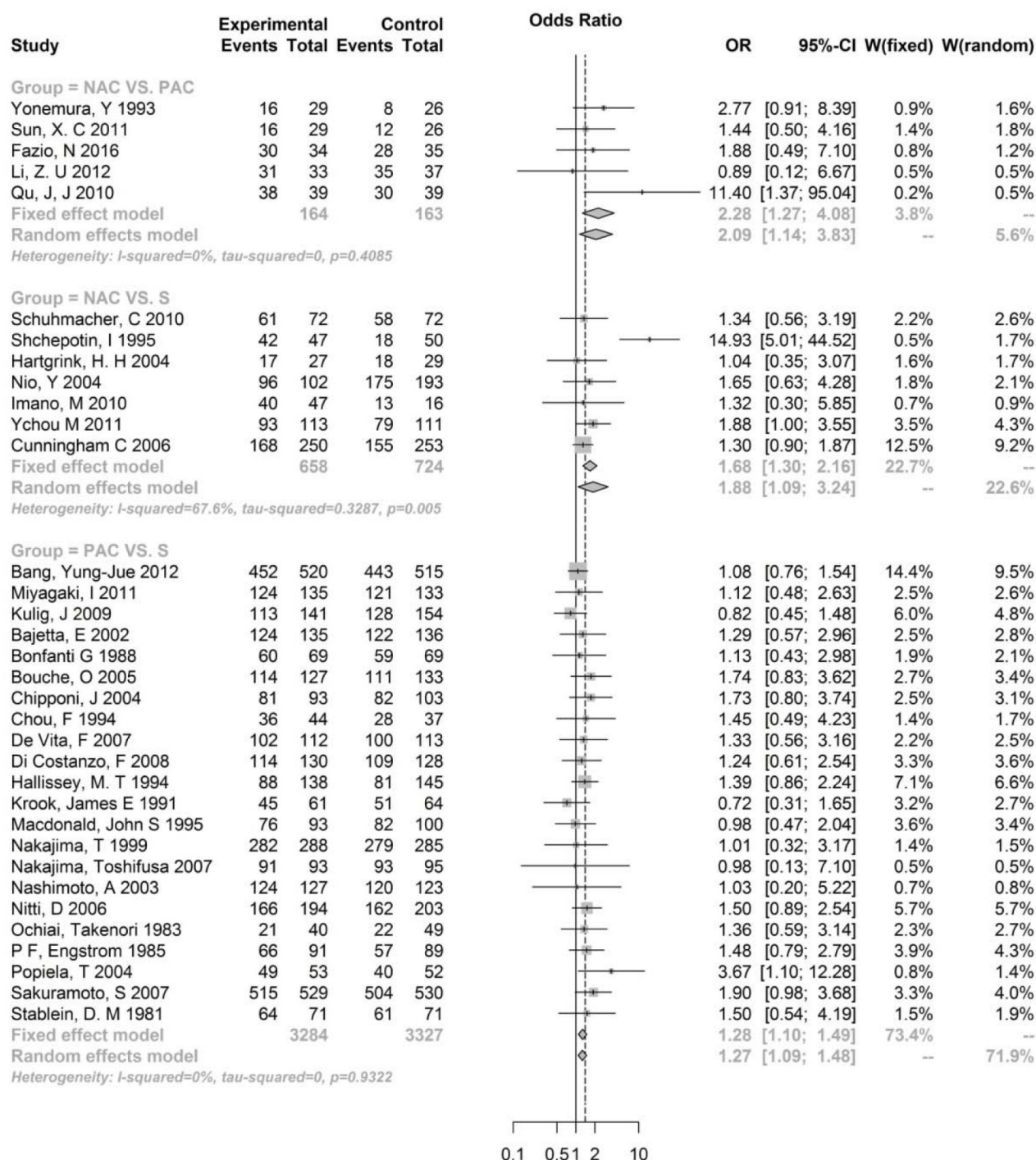


Figure 3. Pairwise comparison of 1-year survival rate.



surgery:  $I^2=39.1\%$ ,  $p=0.0321$ , random effect model, OR=1.28, 95% CI:1.10-1.48). For 5-year survival rate, NAC was better than PAC ( $I^2=37.8\%$ ,  $p=0.2048$ , fixed effect model, OR=2.04, 95% CI: 1.03-4.06), and both NAC+surgery and surgery+PAC were better than surgery only (NAC+surgery vs surgery:  $I^2=23.7\%$ ,  $p=0.2468$ , fixed effect model, OR=1.48, 95% CI:1.12-1.97; surgery+PAC vs surgery:  $I^2=38.7\%$ ,  $p=0.0339$ , random effect model, OR=1.28, 95% CI: 1.11-1.49) (Figures 3-5).

### Results of the network meta-analysis

Inconsistency factors were applied for consistency test of 1-year survival data. Consistency model was conducted as log OR= -0.35, 95% CI:-1.15 to 0.23. PSRF values were between 1.00 and 1.02, indicating a complete convergence and stable result. It could be inferred from 1-year survival data that NAC+surgery was the optimal treatment for resectable gastric cancer (Table 3 and Figure 6A), and there was significant difference for NAC+surgery

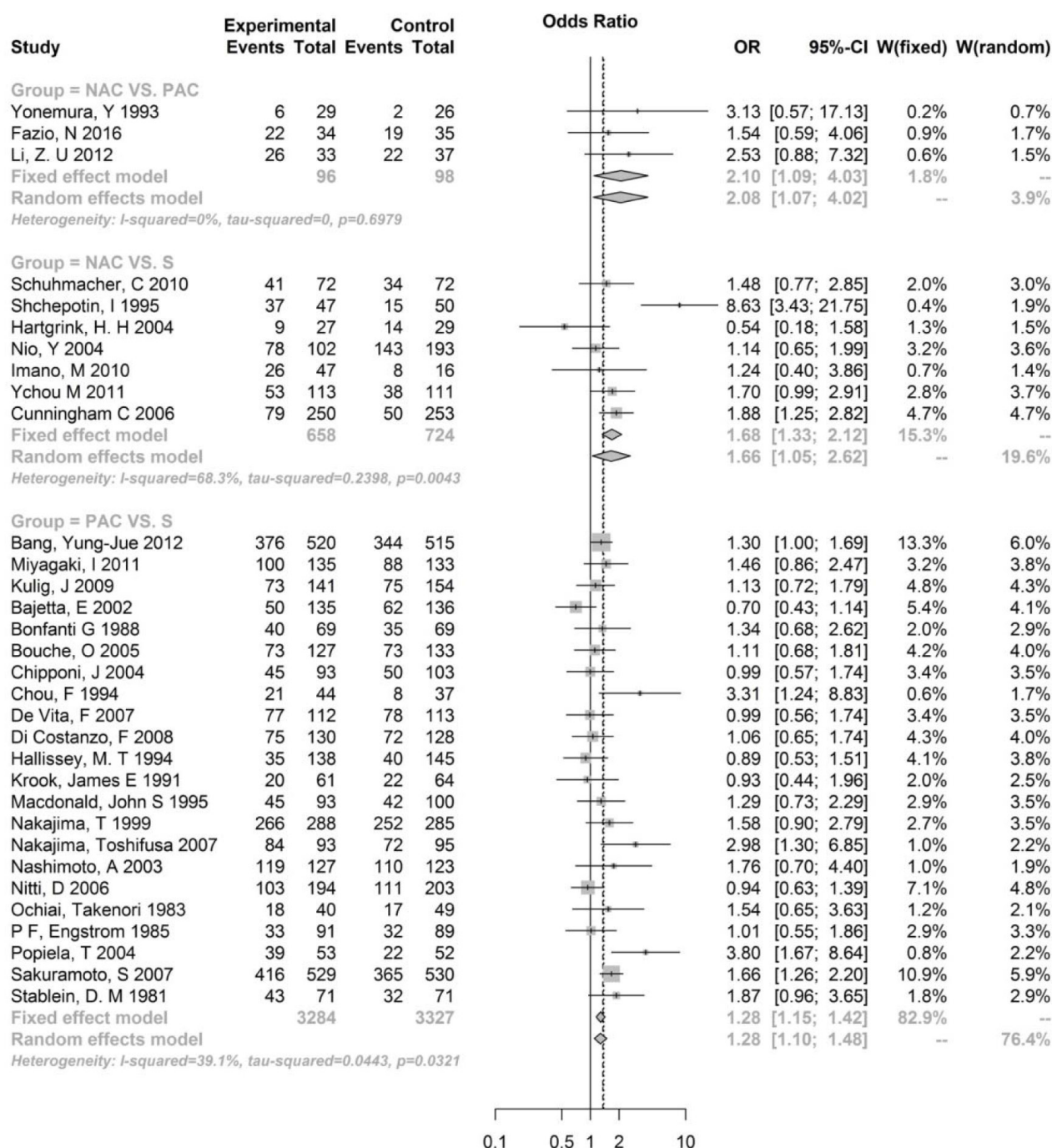


Figure 4. Pairwise comparison of 3-year survival rate.



compared with surgery + PAC or surgery alone. Figure 7A shows mesh construction of 1-year survival data.

Inconsistency factors were applied for consistency test of 3-year survival data. Consistency model was conducted as log OR= -0.28, 95% CI:-1.12 to 0.29. PSRF values were between 1.00 and 1.02, indicating a complete convergence and stable result. It could be inferred from 3-year survival data that NAC+surgery was the optimal treatment for resectable gastric cancer (Table 3 and Figure 6B), The difference of NAC+surgery compared with

surgery + PAC or surgery was statistically significant. Figure 7B shows mesh construction of 3-year survival data.

For 5-year survival data, inconsistency factors were applied for consistency test. Consistency model was conducted as log OR= -0.38, 95% CI:-1.14 to 0.23. PSRF values were between 1.00 and 1.01, indicating a complete convergence and stable result. Combined with probability sequence diagram, it could be inferred from 5-year survival data that NAC+surgery may be a better treatment for resectable gastric cancer (Table 3 and Figure 6C).

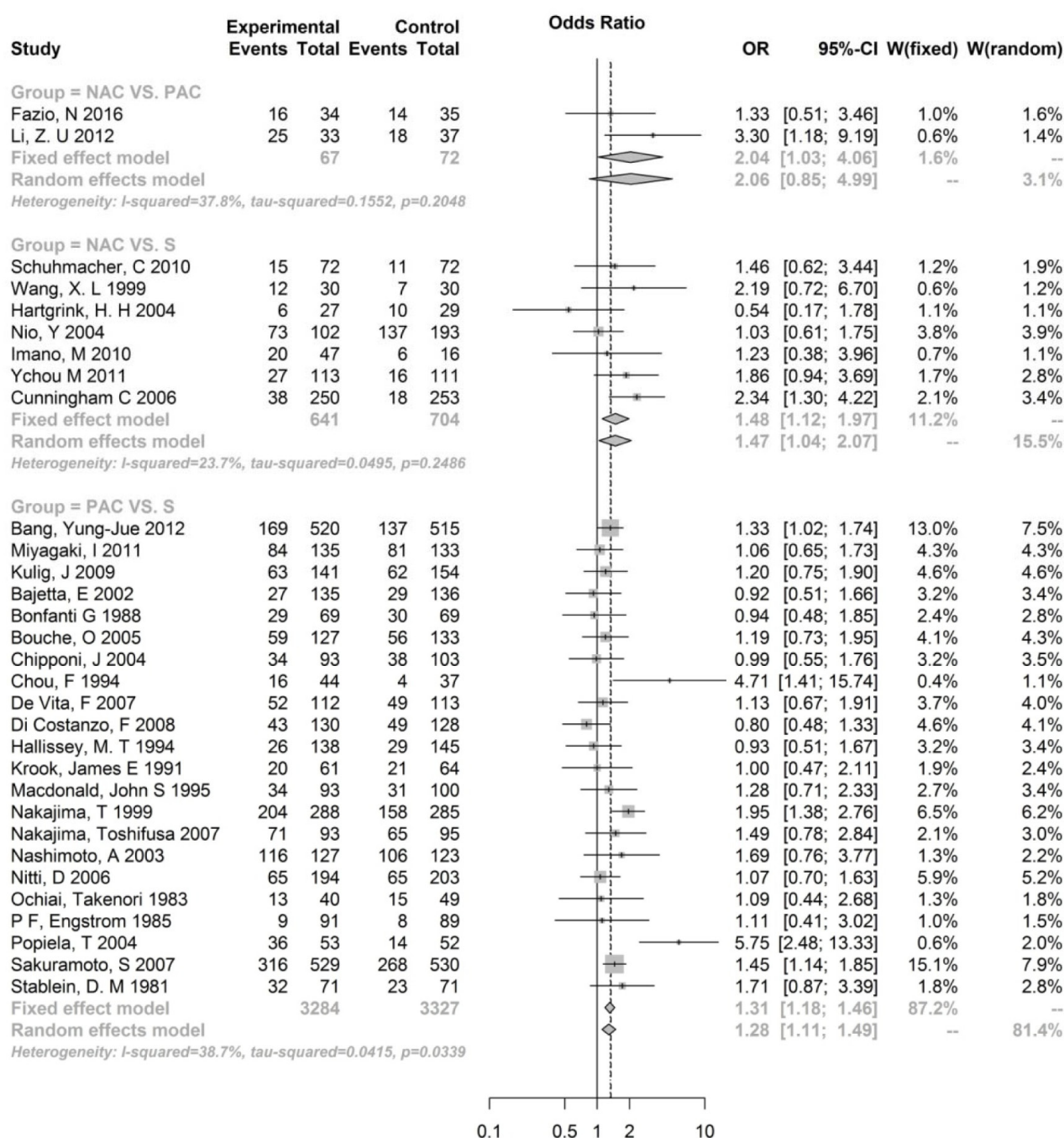


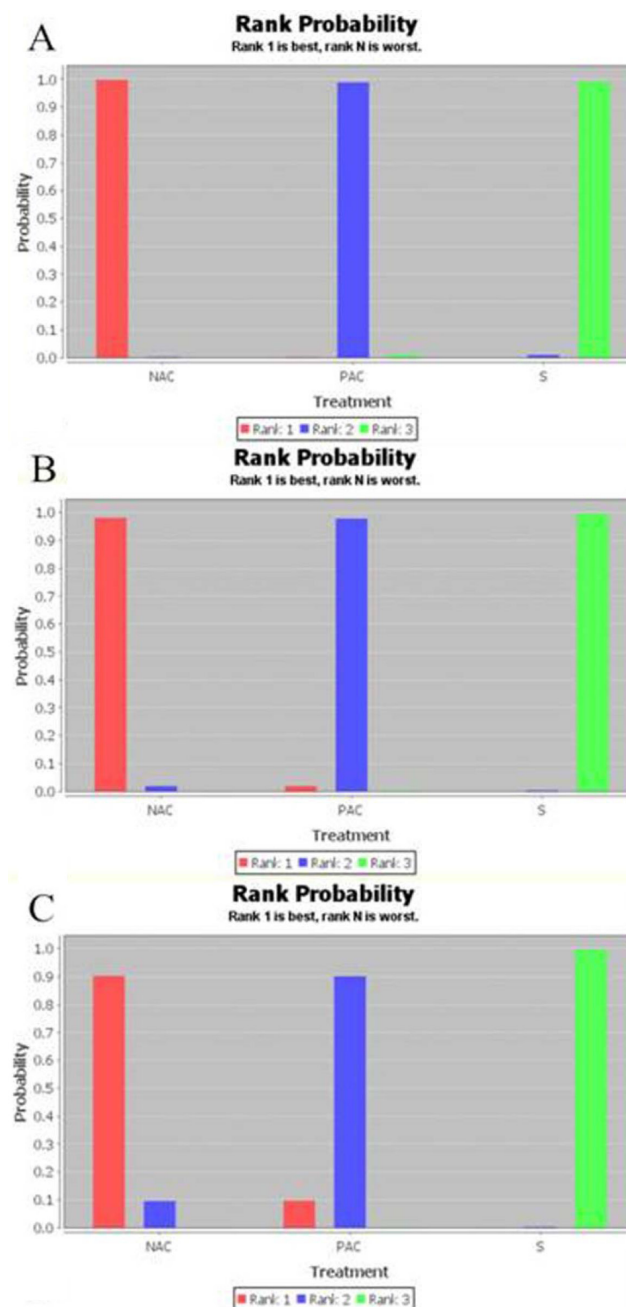
Figure 5. Pairwise comparison of 5-year survival rate.

But there was no statistical significance between NAC+surgery and surgery + PAC. Figure 7C shows mesh construction of 5-year survival data.

## Discussion

NAC and PAC are important multidisciplinary strategies raising the survival rate of resectable gastric cancer. MAGIC trial published in 2006 was the first successful phase III clinical trial of multidisciplinary treatment for resectable gastric cancer [37]. Based on the results of this trial, NAC of gastric cancer was adopted in NCCN guideline from 2007 to now. In 2011, FNCLCC/FFCD trials [15] confirmed that NAC increased the survival rate and surgical resection rate. ACTS-GC was the first phase III clinical trial verifying PAC could prolong survival of gastric carcinoma patients. The study of Sakuramoto et al. including 1059 D2 radical surgery of stage II and III gastric cancer reported results of ACTS-GC phase III clinical trial in 2007 [14], showing that 3-year survival rate was significantly higher in PAC group than surgery alone (80.5% vs 70.1 %, HR 0.68, 95% CI 0.52 to 0.87). Furthermore, Sasako et al. reported 5 years follow-up data in 2011 [13], which showed that 5-year survival rate of PAC group was significantly higher than surgery alone group (71.7 vs 61.1%, HR 0.669, 95% CI 0.54 to 0.828). Bang et al. reported multicenter phase III clinical trials of gastric cancer which accepted PAC (CLASIC research) [41]. In this study, 1035 patients were randomly divided into the PAC group (oxaliplatin combined with capecitabine) and surgery-alone group, and showed that 3-year disease-free survival (DFS) in PAC group was significantly higher than surgery-alone group (74 vs 59% HR 0.56, 95% CI 0.44-0.72,  $p < 0.0001$ ). Five-year survival was reported in 2014 [16]: 5-year survival rate was obviously higher in PAC group than surgery-alone group (78 vs 69%, HR 0.66, 95% CI 0.51-0.85,  $p = 0.0015$ ). MAGIC trial and FNCLCC/FFCD trials provided evidence for NAC, and ACTS-GC and CLASIC provided evidence for PAC.

In order to elucidate whether NAC or PAC could provide better survival benefit for resectable gastric cancer we made this meta-analysis of randomized controlled trials. In the present study, we searched 5 RCTs which directly compared NAC with PAC. Of the 5 RCTs, 4 were from Asia while 1 was from Europe. The results of meta-analysis showed that compared with PAC, NAC might bring a greater survival benefit for resectable gastric cancer patients. NAC was obviously better than PAC in 1-year, 3-year and 5-year survival rate. The limitation for this simple meta-analysis was that only 5 RCTs were included, while most of them



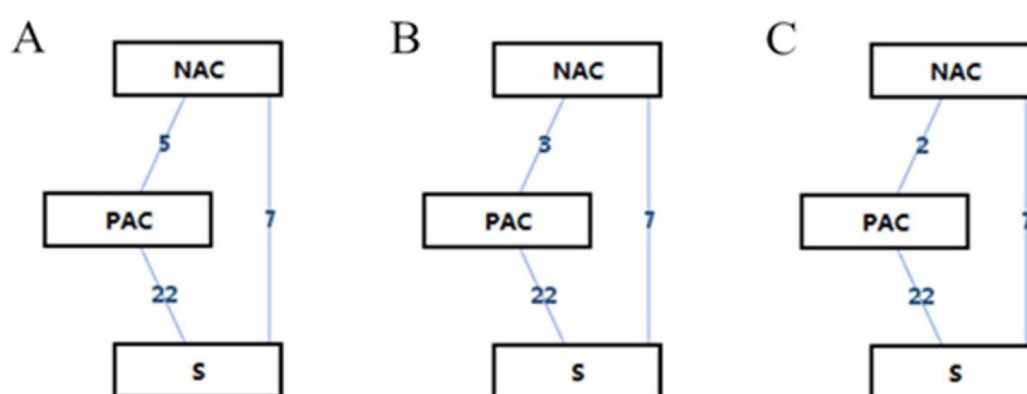
**Figure 6.** Probability sequence diagram of survival benefit. (A) Probability sequence diagram of 1-year survival benefit. (B) Probability sequence diagram of 3-year survival benefit. (C) Probability sequence diagram of 5-year survival benefit.

were small-sample RCTs. To get additional evidence for the evidence-based medicine, a network meta-analysis composed of 35 RCTs was made. Among them, 8 RCTs compared NAC+surgery with surgery alone, 22 RCTs compared surgery alone with surgery+PAC and the other 5 RCTs compared NAC with PAC directly. The results of network meta-analysis showed that NAC+surgery was statistically better than surgery + PAC in 1-year and 3-year survival, and there was no statistically significant difference between NAC+surgery and

**Table 3.** Comparison of survival benefits of the three therapeutic methods

|                     |                   |                   |                   |
|---------------------|-------------------|-------------------|-------------------|
| One-year survival   | NAC               | 0.63 (0.42, 0.85) | 0.50 (0.35, 0.65) |
|                     | 1.59 (1.18, 2.37) | PAC               | 0.80 (0.66, 0.96) |
|                     | 2.01 (1.53, 2.89) | 1.25 (1.04, 1.52) | S                 |
| Three-year survival | NAC               | 0.71 (0.50, 0.98) | 0.55 (0.40, 0.74) |
|                     | 1.41 (1.02, 1.99) | PAC               | 0.78 (0.65, 0.92) |
|                     | 1.81 (1.35, 2.48) | 1.29 (1.09, 1.53) | S                 |
| Five-year survival  | NAC               | 0.79 (0.55, 1.11) | 0.62 (0.45, 0.84) |
|                     | 1.26 (0.90, 1.80) | PAC               | 0.78 (0.67, 0.92) |
|                     | 1.62 (1.20, 2.24) | 1.28 (1.09, 1.49) | S                 |

NAC: neoadjuvant chemotherapy group; PAC: postoperative adjuvant chemotherapy group; S: surgery-alone group. Data in the cross points show comparison between any 2 groups. For example, 1.59 represented the OR value, and the numbers in parentheses (e.g 1.18, 2.3) represent 95% CI of NAC vs PAC in 1-year survival.



**Figure 7.** Mesh construction of survival data. The number under blue line is proportional to the number of studies included in the pairwise comparisons. **(A)** Mesh construction of 1-year survival data. **(B)** Mesh construction of 3-year survival data. **(C)** Mesh construction of 5-year survival data.

surgery+PAC in 5-year survival. ADDIS software could rank the intervention measures according to MCMC algorithm [46]. As shown in Figure 6, NAC+surgery ranked first in Probability Sequence Diagram of 1-, 3- and 5-year survival data. Based on the above results, we inferred that NAC could bring more survival benefits than PAC for resectable gastric cancer patients.

Our study showed that NAC had a better survival advantage than PAC for gastric cancer patients. Furthermore, some studies confirmed that NAC reduced tumor staging and improved R0 resection rate [47]. But the clinical research about survival benefit that NAC brings to gastric cancer patients is not enough till now. The reasons are the following: Firstly, MAGIC and FNCLCC/FFCD trials are the main evidence of evidence-based medicine of NAC. But for the deficiency of these trials, especially MAGIC trial acting as the base of American and European guidelines, is questioned by some authors [48]. For example, only 37.6% cases received D2 lymph node dissection in MAGIC trial. FNCLCC study recommended D2 lymph node dissection to patients, but statistical description

was not conducted for surgical method. Furthermore, only 42% of the patients finished the whole treatment plan in MAGIC trial. In FNCLCC/FFCD study, more than grade 3 adverse effects appeared in 38% of the patients receiving PAC. Besides, low esophageal cancer patients were included in both researches. Secondly, in addition to MAGIC and FNCLCC/FFCD trials, RCTs with large samples were lacking. Many studies on gastric cancer patients receiving NAC only reported surgical resection rate, chemotherapeutic safety, effects on surgery, R0 resection rate, but lacked follow-up for survival. Thirdly, the meta-analysis of 8 RCTs about NAC+surgery included in our study suggested that though NAC+surgery was superior to surgery-alone group in 1-year, 3-year and 5-year survival rate, but significant heterogeneity was observed in 1-year survival (NAC+surgery vs surgery:  $I^2=67.6\%$ ,  $p=0.005$ ) and 3-year survival (NAC+surgery vs surgery:  $I^2=68.3\%$ ,  $p=0.0043$ ). Only 5-year survival heterogeneity test showed no obvious heterogeneity ( $I^2=37.8\%$ ,  $p=0.2048$ ). Therefore, the statistical results of 1-year and 3-year survival data of NAC+surgery in our meta-



analysis are not very definite, and further studies are needed.

At present, practical applications of NAC are not very clear, including how to select patients that may get benefit from NAC, how to choose the chemotherapy scheme, how to determine the time of surgery and how to avoid the adverse effects and tumor progression in patients with gastric cancer. In the studies of NAC, the clinical staging of tumors was generally late. Most of the patients had locally advanced stage, but the cases chosen for PAC were mostly I-III stage, although NCCN guideline indicated that NAC for T2 or more advanced stage patients was taken as the first choice. Combined with relevant studies and our clinical experience, we believe that T3/4 and N+ patients without distant metastasis may benefit significantly from NAC. We believe that the evidence-based data for NAC of T2N0 patients is not sufficient. In recent years, many new drugs, including taxanes, oxaliplatin and S1 have been applied in NAC. Application of these new drugs has improved the efficiency and safety of chemotherapy for gastric cancer. Latest FLOT4 research, including 265 cases, used to evaluate its therapeutic effect showed that FLOT scheme including docetaxel and oxaliplatin was obviously superior to traditional chemotherapy regimens based on cisplatin and fluorouracil [49]. This study has significant impact on the choice of NAC scheme. For patients receiving NAC, the effect of the treatment should be evaluated in time instead of pursuing the maximum effect. Generally, the therapeutic effect of chemotherapy should be evaluated after two cycles and operation should be performed as soon as possible once the therapeutic purpose is achieved.

For PAC, two large-sample RCTs (ACTS-GC and CLASIC) provided evidence-based data. Our meta-analysis of 22 RCTs involving 6611 patients also confirmed that PAC could bring stable survival benefit to gastric cancer patients. However, the limitation for PAC is that the therapeutic effects cannot be observed. Some patients with PAC even received unnecessary treatment. The advantage for NAC is that the clinical and pathological responses of individuals to treatment can be observed and unnecessary chemotherapy can be avoided.

Quality evaluation of the 35 clinical researches included in our research showed that high risk existed in both performance bias and detection bias. Considering that the effect of these two aspects on survival data was limited, we believed that the overall quality of the included RCTs in this meta-analysis were relatively high.

In conclusion, NAC brings greater survival benefits than PAC to patients with resectable gastric cancer. However, a large-sample RCT comparing NAC and PAC directly is needed to verify our conclusions.

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## Conflict of interests

The authors declare no conflict of interests.

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